Treatment Strategies in Gastric Cancer

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SUMMARY

Background: Gastric cancer has become less common but remains among the leading causes of death from cancer, with a 5-year survival rate of only 20% to 25%. Although diagnostic techniques have improved, most patients with gastric cancer in the Western world (unlike in some Asian countries) already have locally advanced disease when diagnosed and may thus need not only surgery, but also perioperative chemotherapy and/or radiotherapy.

Method: Articles published from 2000 to 2010 and containing the terms “gastric cancer,” “surgery,” and “chemotherapy” in combination with “review” or “randomized trial” were retrieved by a search in the Cochrane Library and Medline databases and selectively reviewed.

Results: Complete (R0) resection of the tumor remains the standard treatment whenever possible. Complete endoscopic resection suffices only in special types of carcinoma that are confined to the gastric mucosa. Depending on the histological findings, either a subtotal distal gastrectomy or a total (perhaps extended total) gastrectomy can be performed. The long-term benefit of systematic D2 lymphadenectomy has now been shown in a randomized trial: the rates of tumor-related death and of local or regional recurrence were found to be significantly lower with D2 than with D1 lymphadenectomy. Multimodal treatment strategies including perioperative chemotherapy and/or radiotherapy can further improve local and regional tumor control and lessen the rate of systemic metastasis.

Conclusion: The standardization of surgical procedures lowered the operative risk in the treatment of gastric cancer. Patients with locally advanced disease can now derive additional benefit from perioperative chemotherapy with an increase of the 5-year survival rates of more than 10%.

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Gastric carcinoma remains a therapeutic challenge. Although its overall incidence around the world is declining, more than 130 000 new cases of gastric carcinoma were diagnosed in Europe in 2000 (1). The declining incidence is mainly attributable to better food preservation and better nutrition, albeit with wide geographical variation. The German Federal Statistical Office reports that 10 510 persons died of a newly diagnosed malignant disease of the stomach in 2008. Gastric carcinoma remains one of the most common causes of death from cancer.

Learning objectives

This article should enable the reader to
- gain an overview of the indications and the inclusion and exclusion criteria for the surgical treatment of gastric carcinoma, and
- become acquainted with the multimodal treatment strategies for patients with gastric carcinoma and with the scientific evidence underlying them.

In the Western world, most cases of gastric carcinoma are diagnosed in the locally advanced or disseminated stage. In Europe, only 10% to 15% of cases are diagnosed in an early stage. The situation is different in Asia: In Japan, for example, the corresponding figure is over 50%.

Despite the reduction of surgical morbidity and mortality with standardized surgical techniques, the overall prognosis remains poor: In the Western world, the 5-year survival rates in all tumor stages are only 20% to 25%, with a median survival time of about 24 months. Nonetheless, patients in certain subgroups can live longer if they are given stage-directed multimodal treatment, particularly in hospitals with high case numbers (2, 3).

In this article, we present current treatment strategies for adenocarcinoma of the stomach and of the gastroesophageal junction on the basis of a selective review of the literature, including published clinical trials and

Gastric carcinoma

In the Western world, the 5-year survival rate of gastric carcinoma is 20% to 25%, with a median survival time of about 24 months.
Cochrane analyses. Current guideline recommendations are also included.

**Pre-treatment diagnostic assessment and tumor staging**

Once the diagnosis is confirmed, the degree of tumor spread is ascertained as precisely as possible to serve as the basis for individual treatment planning. Esophagogastroduodenoscopy (video-endoscopy), with targeted removal of multiple biopsy specimens and direct visualization of the site and extent of the tumor, remains the most important component of primary diagnostic assessment (4, 5). Endosonography, computerized tomography, and (where appropriate) magnetic resonance imaging are all comparably useful techniques for determining the degree of local and regional tumor spread (6). Endosonography should be part of the staging assessment whenever treatment is to be provided with curative intent but is not absolutely required before local endoscopic treatment. If there is difficulty determining whether the carcinoma is mucosal or submucosal, as is often the case, the primary approach should consist of so-called diagnostic endoscopic resection. Endoscopic ultrasonography enables the differentiation of categories T1/2 from T3/4. To detect potential distant metastases, computerized tomography (CT) of the abdomen should be performed, and a chest CT as well if the primary tumor involves the gastroesophageal junction. Exploratory laparoscopy is advisable only for locally advanced disease (categories T3/4), with the main purpose of detecting small subcapsular liver metastases or localized peritoneal carcinosis. In such cases, exploratory laparoscopy improves the precision of staging, yielding a correction of the pre-laparoscopic staging in 30% of cases or more; this can, of course, have major implications for treatment (5).

**Current treatment strategies**

The therapeutic recommendations for gastric carcinoma are based on the results of randomized trials and Cochrane analyses as well as on expert consensus. In some areas, evidence on the highest level is not available for the formulation of recommendations.

The main prognostic factors in gastric carcinoma, both tumor- and patient-related, can already be determined at the time of diagnosis. These include:
- the tumor stage,
- the site of the tumor, and
- the patient’s accompanying diseases, if any.

The main prognostic factors can only rarely be directly affected by surgical treatment. The main way to improve outcomes is to achieve R0 resection, i.e., complete removal of the tumor and of its regional lymphatic drainage (2, 7). Endoscopic procedures can also be employed with curative intent in the treatment of mucosal carcinoma (8). For tumors that have not yet spread beyond the locoregional stage (T1b/2), surgery is the primary treatment (*Figure 1*). For tumors in more advanced stages (T3 and above) that have not yet given rise to distant metastases or peritoneal carcinomatosis, the option of perioperative chemotherapy should be considered by an interdisciplinary tumor board. For

**Primary diagnostic assessment**

Esophagogastroduodenoscopy (video-endoscopy), with targeted removal of multiple biopsy specimens and direct visualization of the site and extent of the tumor, remains the most important component of primary diagnostic assessment.

**Current treatment strategies**

- For tumors that are locally or regionally confined (T1b/2), surgery is the primary treatment.
- For advanced tumors (T3 and above), perioperative chemotherapy should be considered.

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*FIGURE 1*

Flowchart for the diagnosis and treatment of early gastric carcinoma

- **Video-endoscopy + biopsies**
- **Definitive diagnosis: gastric carcinoma**
- **Endosonography (EUS), mini-probe where indicated**
  - Sono M0
- **EMR/ESD**
- **R 0**
- **Conventional resection**
- **Postoperative care**

**Primary diagnostic assessment**

- **uT1**
  - m/sm1
  - DEFinitive diagnosis: gastric carcinoma

**Current treatment strategies**

- **EMR**
  - Endoscopic mucosal resection
- **ESD**
  - Endoscopic submucosal dissection
- **Sono M0**
  - No sonographic evidence of distant metastases
- **uT1 m/sm1**
  - Endosonographic definition of infiltration into the muscularis mucosae or submucosa
- **uT2**
  - Endosonographic definition of infiltration into the muscularis propria
- **R0**
  - No residual tumor
- **R1/2**
  - Micro-/macroscopic residual tumor
tumors that have already metastasized, palliative chemotherapy is generally indicated (Figure 2). In the rare cases of major tumor-related complications, such as obstruction, hemorrhage, or perforation, the decision whether to treat with palliative resection or with interventional measures should be taken on an individual basis. When an R1 or R2 resection has been performed, it should be determined whether a second resection with curative intent is feasible; if not, combined chemotherapy and radiation therapy can be given (2–4).

In recent years, an increasing number of reports have highlighted the beneficial effect of high case numbers (per hospital and per surgeon) on treatment outcomes in gastric carcinoma, but there has not been any randomized trial on this question. Although the data are not fully clear, the trend suggests that it is mainly a high case number per hospital that lowers postoperative morbidity and mortality and improves long-term outcomes (2, 9).

**Surgery**
Complete (R0) resection is the only unimodal treatment with curative intent. The extent of intra- and extraluminal resection is determined according to the basic principles of oncological surgery: a variety of techniques can be used, depending on the particular tumor constellation (see below) and the patient’s individual risk factors (7).

**Intraluminal resection**
The extent of gastric resection is determined mainly by (2, 7)
- the site of the tumor,
- the depth of tumor infiltration, and
- the histomorphological classification of the tumor in the Laurén scheme, with consideration of the oral safety margins.

**Endoscopic resection**
For local treatment with curative intent in early gastric carcinoma (sometimes in combination with endoscopy and laparoscopy), the key consideration is that of potential lymph node metastases. The rate of metastasis to lymph nodes is 3% to 6% in mucosal carcinoma and as high as 30% in submucosal carcinoma. In a large-scale retrospective study of early gastric carcinoma treated with gastrectomy and meticulous lymph node resection, subgroups were identified in which the observed probability of lymph node metastasis was near zero (10). For more than 15 years, the Japanese approach to patients in one of these subgroups at low risk (i.e., patients with mucosal carcinoma, macroscopic type I or II, diameter less than 20 mm, differentiation G1/2, L0, V0, intestinal carcinoma) has involved endoscopic mucosal resection as a definitive procedure with curative intent after a complete histological survey. There are still no data from randomized trials to support this treatment approach, which has not become established in the Western world because of the low rate of diagnosis of gastric carcinoma in the early stage. It should be carried out only in institutions with the appropriate facilities.
experience, so that the chance of a cure should not be jeopardized by inadequate treatment. This requirement applies, in particular, to the “extended criteria,” up to and including endoscopic submucosal dissection (3, 8).

Minimally invasive techniques
Asian countries have also seen the widest use of laparoscopic resective surgery for gastric carcinoma, including (in recent years) lymphadenectomy via laparoscopy. There have been only a few randomized trials of laparoscopic resection, mostly involving early carcinoma in the distal portion of the stomach. While it can be concluded from recent meta-analyses that minimally invasive partial or total gastrectomy, in accordance with the oncological criteria, is technically feasible, no conclusive judgment about these techniques is possible to date (3, 11).

Conventional resection
The definition of R0 resection, now considered to be standard for stage-appropriate treatment, implies that there is, in principle, no longer any justification for gastrectomy as traditionally performed. Two randomized trials and one observational study have shown that the results of subtotal distal gastrectomy, observing the appropriate oral safety margins when the tumor is located in the lower or middle third of the stomach, are no different from those of total gastrectomy with respect to postoperative morbidity or mortality, or overall survival (12).

In intestinal carcinoma or early diffuse carcinoma, the tumor does not extend beyond its grossly visible limits; in contrast, in advanced diffuse carcinoma, there may be discontinuous growth in areas of the gastric wall that appear grossly normal. The required oral safety margin for R0 resection is 5 cm for intestinal carcinoma and 8 cm in situ for the diffuse type. A practical consequence of this is that subtotal distal gastrectomy is considered oncologically adequate for the treatment of early carcinoma of either type in the distal portion of the stomach, or of advanced intestinal carcinoma in its middle third (Figure 3). In all other cases, total gastrectomy must be extended with transthiatal resection of the distal portion of the esophagus (2, 7).

Minimally invasive techniques
Recent meta-analyses show that minimally invasive partial or total gastrectomy, in accordance with the oncological criteria, is technically feasible.

Resection margins
The required oral safety margin for R0 resection is 5 cm for intestinal carcinoma and 8 cm in situ for the diffuse type.
Pre-and postoperative chemotherapy vs. surgery alone in the treatment of gastric carcinoma and adenocarcinoma of the gastroesophageal junction

<table>
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<tr>
<th>Study</th>
<th>Treatment (Patients)</th>
<th>T3/T4 (%)</th>
<th>N2/N3 (%)</th>
<th>SR (%; 5 years)</th>
<th>p-value (HR)</th>
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<td></td>
<td>S + PC (n = 113)</td>
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<td>67 (N+)</td>
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</tbody>
</table>

T, deep infiltration of tumor; N, lymph node involvement; SR, survival rate; HR, hazard ratio; S, surgery; PC, perioperative chemotherapy

Extraluminal resection

The extent of extraluminal resection is essentially a function of the removal of locoregional lymphatic drainage areas. In the scheme of the Japanese Research Society for Gastric Cancer, the lymphatic drainage is divided into 16 separate components.

- **D1 lymphadenectomy**, by definition, consists of removal of the perigastric lymph nodes (nos. 1–6).
- **D2 lymphadenectomy** additionally includes removal of the suprapancreatic lymph nodes along the great vessels (nos. 7–11).
- In **D3 lymphadenectomy**, further lymph nodes are removed, e.g., the left para-aortal or retropancreatic nodes.

In gastric carcinoma, unlike other types of cancer, the examination of sentinel lymph nodes with dyes or radionuclides is not yet part of routine clinical practice (2).

The therapeutic value of systematic D2 lymphadenectomy was long debated in the Western world but was considered the gold standard in Japan, in the absence of randomized trials (Figure 4).

Five randomized trials on this subject have now been carried out; the Dutch and British trials, in particular, involved enough cases to yield scientifically relevant data. In these trials, the postoperative morbidity and mortality were significantly higher after D2 lymphadenectomy than after D1 lymphadenectomy, without any improvement of the 5-year overall survival rate. It is important to note, however, that D2 lymphadenectomy was performed in these trials in combination with splenectomy and left pancreatectomy (either as obligate accompaniments, or else at a significantly higher rate than with D1 lymphadenectomy). It was precisely this extension of lymphadenectomy that was found to be an important risk factor for increased postoperative morbidity and mortality (13, 14).

Other non-randomized or observational studies of D2 lymphadenectomy without splenectomy or pancreatic resection did not show any increase in mortality; instead, D2 lymphadenectomy was found to improve the clinical outcome in some subgroups, e.g., for tumors in stage II and IIIA in the German Gastric Carcinoma Study (15). These observations led the authors of an earlier Cochrane analysis to conclude that the evidence regarding systematic lymphadenectomy was not conclusive (16).

A trend toward a significant survival advantage from spleen- or pancreas-preserving D2 lymphadenectomy was first discernible in the 11-year survival rates of the Dutch study. These were

- 33% after D1 lymphadenectomy and
- 47% after D2 lymphadenectomy (3).

Although no difference in overall survival remained at 15 years, the tumor-associated death rate at 15 years was significantly higher for D1 than for D2 lymphadenectomy (48% versus 37%). Furthermore, the locoregional recurrence rate was lower after systematic lymph node resection. These findings imply that spleen- and pancreas-preserving D2 lymphadenectomy is safe and should be recommended as a standard procedure for surgery with curative intent, particularly in institutions with high case numbers, where such operations have already been performed for many years (7, 17).

The available data from clinical trials imply that a further extension of D2 lymphadenectomy to include the left para-aortal nodes, although it is technically feasible without increased morbidity, still does not improve the overall outcome (18).

Splenectomy and/or left pancreatic resection seems necessary only in cases of direct infiltration of these organs by tumor or of potential lymph node metastases to the splenic hilar region. In cases of tumor growth beyond the gastric wall with suspected infiltration of the adjacent organs, multi-organ resection is indicated only if it can be performed as an R0 multi-organ resection, so that the prognosis will be improved (2).

Reconstructive techniques

In view of the many different surgical techniques available and the low level of the available scientific evidence, no particular one can be called the best.
evidence, no particular reconstructive technique can now be called the best. The current method of first choice after subtotal distal gastric resection is the excluded jejunal loop with creation of a gastrojejunostomy (Roux-en-Y); this method is also the one most commonly used worldwide after total gastrectomy as well. Another option that has been under discussion for decades is that of jejunal interposition including the duodenum or the formation of a pouch; this may be advantageous for the postoperative course. In the end, reconstructive techniques are generally chosen on the basis of the surgeon’s personal experience and the patient’s prognosis (2, 7).

**Multimodal treatment**

Perioperative multimodal treatment for gastric carcinoma has been studied in randomized trials; the more recent ones have often included adenocarcinoma of the gastroesophageal junction as well.

**Postoperative (adjuvant) chemotherapy**

Multiple meta-analyses comparing the results of surgery with and without adjuvant chemotherapy have found that the former confers a marginal but statistically significant benefit: Survival rates are 4% to 6% higher with adjuvant chemotherapy, and patients with advanced tumors stand to benefit most. The single meta-analysis that was based on individual patient data (17 studies, 3838 patients, 5-year survival rate 55.3% vs. 49.6%) did not reveal any significant difference in the survival rates of patients from Asia and the Western world (19).

Thus, because it confers no more than a modest survival advantage that has been documented in the Western world only by meta-analyses, adjuvant chemotherapy for these patients is currently considered a therapeutic option that should be considered in individual cases, e.g., for patients with advanced tumors.

**Postoperative (adjuvant) chemotherapy and radiotherapy**

In an American trial involving 556 patients, surgery with postoperative chemotherapy and radiotherapy was compared with surgery alone (20). Adjuvant treatment yielded significant benefit at three years with respect to both disease-free survival (48% vs. 31%) and overall survival (50% vs. 41%). These results have been called into question, however, because of the inadequate surgical treatment. Thus, there can as yet be no general recommendation for postoperative chemotherapy and radiotherapy, although such treatment is an option after surgery without D2 lymphadenectomy.

**Pre- and postoperative (perioperative) chemotherapy**

Current interest centers on treatment strategies that can lead to higher rates of R0 resection, which is considered a determinative prognostic factor. In two randomized trials (the MAGIC trial, with 532 patients, and the FNCLCC/FFCD trial, with 224), surgery with pre- and postoperative chemotherapy was found to improve survival rates markedly in comparison to surgery alone (21, 22). These trials included patients with adenocarcinoma of the stomach and gastroesophageal junction (Siewert types I–III)—an acceptable procedure, because the efficacy of chemotherapy is the same for tumors at these two sites.

The perioperative chemotherapy was based on cisplatin and 5-fluorouracil (5-FU) in both trials, without any elevation of postoperative morbidity or mortality. Only about half of all patients for whom postoperative chemotherapy was planned actually received it. In both trials, the 5-year survival rate of surgery combined with

<table>
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<th>Author</th>
<th>Regimen</th>
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<th>M1 (%)</th>
<th>RR (%)</th>
<th>mPFST (months)</th>
<th>mST (months)</th>
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<td>172</td>
<td>165</td>
<td>96</td>
<td>31.8</td>
<td>5.0</td>
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</tbody>
</table>

M1, metastasized; RR, remission rate; mPFST, median progression-free survival time; mST, median survival time; XP, capecitabin/cisplatin; CF, cisplatin/5-fluorouracil (5-FU); FLO, 5-FU/folic acid/cisplatin; FPF, cisplatin/5-fluorouracil (5-FU); ECF, epirubicin/cisplatin/5-FU; ECX, epirubicin/cisplatin/capecitabin; EFO, epirubicin/oxaliplatin/5-FU; EOX, epirubicin/oxaliplatin/5-FU; DCF, docetaxel/cisplatin/5-FU; IF, irinotecan/5-FU

**Postoperative adjuvant chemotherapy**

Adjuvant chemotherapy confers a marginal but statistically significant benefit: an improvement in survival rates by 4% to 6%, with the greatest benefit in patients with advanced tumors.

**Postoperative chemotherapy and radiotherapy**

Postoperative chemotherapy and radiotherapy are generally not recommended, although such treatment is an option after surgery without D2 lymphadenectomy.
perioperative chemotherapy was higher than that of surgery alone, to a statistically significant extent (36% vs. 23% in the MAGIC trial and 38% vs. 24% in the FNCLCC/FFCD trial).

These findings, which are summarized in Table 1, lead to the following conclusions:

- Perioperative chemotherapy based on cisplatin and 5-FU increases the survival rates of patients in clinical stages II and III.
- For locally advanced tumors in categories T3 and T4, perioperative chemotherapy is recommended as the treatment of choice (evidence level 1).
- It is not yet known to what extent the observed benefit was due to the postoperative component of perioperative chemotherapy, if at all. Nonetheless, postoperative chemotherapy is recommended whenever perioperative chemotherapy is to be given (outside of clinical trials as well).

Preoperative chemotherapy and radiation therapy

In the treatment of “classic” resectable gastric carcinoma, preoperative chemotherapy combined with radiation therapy has not been adequately tested and therefore cannot be recommended. Preoperative chemotherapy and radiation therapy has not been adequately tested and thus cannot be recommended.

Palliative chemotherapy

The benefit of systemic drug therapy (chemotherapy) in comparison to best supportive care has been clearly demonstrated, as has the greater efficacy of cytostatic agents in combination rather than as monotherapy. From the findings of the randomized trials that have been carried out in this area, the following conclusions can be derived (Table 2) (24, 25):

- Combination therapy based on platinum and fluoropyrimidine is the treatment of choice for most patients. In clinical trials, this has yielded objective remission rates of 35% to 40% and median survival times of 9 to 11 months.
- When it is to be given in combination with platinum derivatives, intravenous 5-FU can be replaced by capecitabine without loss of efficacy.
- Patients whose tumors overexpress the growth factor receptor HER2 (about one-quarter of all patients) live longer if they receive the monoclonal antibody trastuzumab as an additional component of their chemotherapy: In a randomized trial with 594 patients, the median survival was 13.8 months with trastuzumab and 11.1 months without it (25).

Conflict of interest statement

Prof. Wike serves on advisory boards for Pfizer, Amgen, and Merck. He receives lecture honoraria from Roche, Sanofi-Aventis, Merck, and Amgen. Prof. Meyer states that he has no conflict of interest.

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REFERENCES


Further information on CME

This article has been certified by the North Rhine Academy for Postgraduate and Continuing Medical Education.

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The solutions to the following questions will be published in issue 49/2011. The CME unit “Hereditary Cardiac Arrhythmias” (issue 37/2011) can be accessed until 28 October 2011.

For issue 45/2011, we plan to offer the topic “Normal Pressure Hydrocephalus.”

Solutions to the CME questionnaire in issue 33/2011:
Batra A: The Treatment of Tobacco Dependence.
Solutions: 1e, 2b, 3d, 4e, 5c, 6b, 7b, 8c, 9a, 10d
Please answer the following questions to participate in our certified Continuing Medical Education program. Only one answer is possible per question. Please select the answer that is most appropriate.

**Question 1**
What percentage of European patients with newly diagnosed gastric carcinoma have a tumor in the early stage?

- a) 10 – 15%
- b) 20 – 25%
- c) 30 – 35%
- d) 40 – 45%
- e) 50 – 55%

**Question 2**
What is the overall 5-year survival rate of gastric carcinoma in all stages in the Western world?

- a) 10 – 15%
- b) 20 – 25%
- c) 30 – 35%
- d) 40 – 45%
- e) 50 – 55%

**Question 3**
What type of study is of greatest value in the primary diagnostic evaluation of gastric carcinoma?

- a) Computerized tomography
- b) Endosonography
- c) Esophagogastroduodenoscopy
- d) Magnetic resonance imaging
- e) Laparoscopy

**Question 4**
You are treating a patient with a confirmed diagnosis of gastric carcinoma. The tumor stage has been determined by endosonography to be uT1 m/sm1. According to the treatment algorithm, what should your next step be?

- a) Endoscopic mucosa resection or submucosal dissection
- b) Perioperative chemotherapy and surgical resection
- c) Combined chemotherapy and radiotherapy without surgery
- d) Pain relief as part of a palliative treatment approach
- e) Total surgical resection of the esophagus

**Question 5**
What percentage of patients with mucosal carcinoma have lymph node metastases?

- a) 0 – 2%
- b) 3 – 6%
- c) 7 – 10%
- d) 11 – 14%
- e) 15 – 18%

**Question 6**
Which of the following indicates that the patient is not in the low-risk category for endoscopic resection?

- a) Mucosal carcinoma
- b) Diameter less than 20 mm
- c) Ulcerated early gastric carcinoma
- d) Intestinal carcinoma
- e) Category L0/V0

**Question 7**
What oral safety margin, measured in situ, should be observed in diffuse carcinoma (according to Laurén) in order to achieve a tumor-free proximal resection plane?

- a) 4 cm
- b) 5 cm
- c) 6 cm
- d) 7 cm
- e) 8 cm

**Question 8**
In D2 lymphadenectomy, which lymph nodes are removed if the tumor is located in the middle third of the stomach?

- a) The perigastric lymph nodes
- b) The left para-aortal lymph nodes
- c) The retropancreatic lymph nodes
- d) The right para-aortal lymph nodes
- e) The perigastric and suprapancreatic lymph nodes

**Question 9**
What is the survival advantage (at 11 years) of D2 lymphadenectomy with preservation of the spleen and pancreas compared to D1 lymphadenectomy?

- a) 32% versus 20%
- b) 32% versus 30%
- c) 47% versus 33%
- d) 63% versus 59%
- e) 81% versus 74%

**Question 10**
What is the effect of postoperative adjuvant chemotherapy on survival, compared to surgery alone?

- a) Survival rate 10–15% higher; patients with advanced tumors benefit most
- b) Survival rate 1–2% higher; patients with advanced tumors benefit least
- c) Survival rate 4–6% higher; patients with advanced tumors benefit most
- d) Survival rate 26–30% higher; patients with advanced tumors benefit most
- e) Survival rate 41–47% higher; patients with advanced tumors benefit least